

Patents

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

James M. Tranquilla

Appln. No.: 10/695,589

Filed: October 28, 2003

Title: METHOD OF REMOVING MERCURY  
ASH FROM MERCURY  
CONTAMINATED MATERIALS

Confirmation No.: 5372

Group Art Unit: 1742

Examiner: M. J. Andrews

Atty. Docket No. 118300.00008  
Customer ID 25207**INFORMATION DISCLOSURE STATEMENT**Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
*Via Facsimile No.: 571-273-0025***FAX RECEIVED**

NOV 16 2005

**OFFICE OF PETITIONS**

Sir:

The citation of information on the attached Form PTO/SB/08A and/or PTO/SB/08B

Information Disclosure Statement by Applicant(s), is made pursuant to 37 CFR 1.56 and 1.97.

- ☒ Pursuant to 37 C.F.R. 1.97(b), this Information Disclosure Statement is being filed:
- ☐ Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d).
  - ☐ Within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application.
  - ☐ Before the mailing of a first Office action on the merits.
  - ☒ Before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114.
- ☐ Pursuant to 37 CFR 1.97(c), this Information Disclosure Statement is being filed after the period specified in 1.97(b), but before the mailing date of a final action under 37 CFR 1.113, a notice of allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application.

Pursuant to 37 CFR 1.97(d), Applicant(s) make the following certification:

**ATTY. DOCKET NO. 118300.00008  
CUSTOMER ID 25207**

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.



No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

OR

☐ Applicant(s) enclose the fee set forth in 37 CFR 1.17(p).



Pursuant to 37 CFR 1.97(e), this Information Disclosure Statement is being filed on or before payment of the issue fee and is accompanied by the following statement pursuant to 37 CFR 1.97(e):



Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.



No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

AND



Applicant(s) enclose the fee set forth in 37 CFR 1.17(p).

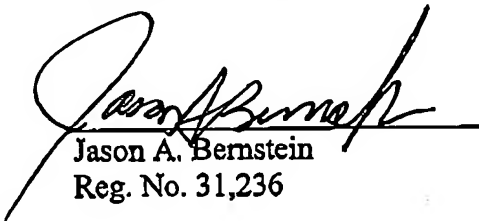
**ATTY. DOCKET NO. 118300.00008  
CUSTOMER ID 25207**

- ☐ Pursuant to M.P.E.P. Section 609C(1), this Information Disclosure Statement is being filed before the payment of an issue fee, but without the certification and fee set forth in 37 CFR 1.97(d). Applicants respectfully request that this Information Disclosure Statement be placed in the file of the instant application.

Applicants also note that the references cited in this Information Disclosure Statement were submitted in the parent application to which this application claims priority.

Respectfully submitted,

POWELL GOLDSTEIN LLP



Jason A. Bernstein  
Reg. No. 31,236

One Atlantic Center - Fourteenth Floor  
1201 West Peachtree Street, N.W.  
Atlanta, Georgia 30309-3488  
(404) 572-6900  
jbernstein@pogolaw.com

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/695,589
Filing Date	October 28, 2003
First Named Inventor	James M. Tranquilla
Art Unit	1742
Examiner Name	M. J. Andrews
Attorney Docket Number	118300.00008

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,948,143	9-7-1999	Sjostrom et al.	
		US- 5,659,110	8-19-1997	Herden et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**FAX RECEIVED**

NOV 16 2005

**OFFICE OF PETITIONS****FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>4</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 61060840	3-28-1986			
		JP 55076028	6-7-1980			

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.